



ST. TAMMANY PARISH

MICHAEL B. COOPER
PARISH PRESIDENT

NOTICE OF REQUEST FOR PROPOSALS

St. Tammany Parish Government is seeking responses for the following project:

RFP# 21-9-3 – Fiscal Agent Services

Responses will be received by the Department of Procurement, until **2:00 p.m. CST Tuesday, May 25, 2021**. RFP responses will be opened publicly at the physical location as stated in Section 1.4 of the RFP documents and only respondents who have submitted an RFP response shall be identified aloud. Prices shall not be read. Each response will be evaluated by designated Parish personnel after the submission deadline and public opening has passed.

Each Proposal must be sealed. The outside of the envelope, box or package should be marked with the Proposer's Name and Address, the Proposal Name, the RFP #, and the Proposal Opening Date.

The successful Respondent must supply St. Tammany Parish Government with all required documentation as specified in the RFP documents. Said Respondent must also be in Good Standing and licensed to do business in the State of Louisiana.

Specifications may be obtained online at <http://www.stpgov.org/>. It is the Vendor's responsibility to check the Parish website frequently for any possible addenda that may be issued. The Parish is not responsible for a Vendor's failure to download any addenda documents required to complete a submission.

Due to the COVID-19 pandemic, and in an effort to help slow the spread of the virus, Openings will take place outside at the "Pavilion" of Building B located at 21454 Koop Drive, Mandeville, La. 70471. Any questions regarding this should be directed to the Department of Procurement via email at purchasing@stpgov.org. Please call the Procurement office at 985-898-2520 and we will meet you at the front entrance of Building B to collect bids and issue a receipt.

Proposals will be received at the St. Tammany Parish Government Department of Procurement Office, 21454 Koop Dr., Suite 2F, Mandeville LA., 70471 from each Respondent or his agent, or by certified mail with return receipt requested.

Procurement Department

REQUEST FOR PROPOSAL
ST. TAMMANY PARISH GOVERNMENT
FISCAL AGENT SERVICES
2021



RFP Number: 21-9-3

Proposal Opening Date: May 25, 2021

Proposal Opening Time: 2:00 PM

April 5, 2021

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Attachment “A” – Pricing Sheet and Project Specifications

Attachment “B” – Sample Contract

Attachment “C” – Acknowledgment and Waiver

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Attachment “E” – Hold Harmless Agreement

Attachment “F” – Affidavits

Attachment “G” - Sample Scoring Matrix

REQUEST FOR PROPOSAL FOR FISCAL AGENT SERVICES

PART I: OVERVIEW

1.1 Background/Purpose

The purpose of this Request for Proposal (RFP) is to obtain proposals from qualified Proposers who are interested in serving as Fiscal Agent for St. Tammany Parish Government. Submittal of a proposal does not create any right or expectation to a contract with the Parish.

1.2 Definitions

- A. Shall – The term “shall” denotes mandatory requirements.
- B. Must - The term “must” denotes mandatory requirements.
- C. May - The term “may” denotes an advisory or permissible action.
- D. Should – The term “should” denotes a desirable action.
- E. Contractor – A Proposer who contracts with the Parish.
- F. Parish - St. Tammany Parish Government.
- G. Discussions- For the purposes of this RFP, a formal, structured means of conducting written or oral communications/presentations with responsible Proposers who submit proposals in response to this RFP.
- H. RFP – Request for Proposal.
- I. Proposer – Person or entity responding to this RFP.
- J. Agreement – A contract between the Contractor and the Parish.
- K. Evaluation Committee – Committee established for the purposes of evaluating proposals submitted in response to this RFP.

1.3 Schedule of Events

| | <u>Date</u> | <u>Time (CT)</u> |
|--|----------------|------------------------|
| 1. RFP Available | April 28, 2021 | 8:00AM |
| 2. Pre-Proposal Conference (if required):* | N/A | N/A |
| <i>**[Parish should specify whether or not the pre-proposal conference is mandatory. If it is not, then they should state that it is non-mandatory, but attendance is strongly urged.]**</i> | | |
| 3. Deadline to receive written inquiries | May 14, 2021 | 2:00 PM |
| 4. Deadline to answer written inquiries | May 20, 2021 | 2:00 PM |
| 5. Proposal Opening Date (deadline for submitting proposals) | May 25, 2021 | 2:00 PM |
| 6. Oral discussions with proposers, if applicable | | <i>To be scheduled</i> |
| 7. Notice of Intent to Award to be mailed | | <i>To be scheduled</i> |
| 8. Contract Initiation | | <i>To be scheduled</i> |

NOTE: The Parish reserves the right to revise this schedule. Any such revision will be formalized by the issuance of an addendum to the RFP.

1.4 Proposal Submittal

This RFP may be obtained online at <http://www.stpgov.org/>.

It is the Proposer's responsibility to check the Parish website frequently for any possible addenda that may be issued. The Parish is not responsible for a proposer's failure to download any addenda documents required to complete an RFP.

All proposals shall be received by the Procurement Department **no later than the date and time shown in the Schedule of Events.**

Important - - Clearly mark outside of the sealed envelope, box or package with the following information and format:

- X **Name and Address of Proposer**
- X **Proposal Name: Fiscal Agent Services**
- X **RFP #: 21-9-3**
- X **Proposal Opening Date: May 25, 2021**

Proposals may only be sent via certified mail, hand-delivery or courier service to our physical location at:

St. Tammany Parish Government Procurement Department
21454 Koop Drive, Suite 2F
Mandeville, Louisiana 70471

Proposer is solely responsible for ensuring that its courier service provider makes inside deliveries to our physical location. The Parish is not responsible for any delays caused by the proposer's chosen means of proposal delivery.

Proposer is solely responsible for the timely delivery of its proposal. Failure to meet the proposal opening date and time shall result in rejection of the proposal.

PROPOSALS SHALL BE OPENED PUBLICLY AT THE PHYSICAL LOCATION IDENTIFIED ABOVE AND ONLY PROPOSERS SUBMITTING PROPOSALS SHALL BE IDENTIFIED ALOUD. PRICES SHALL NOT BE READ.

1.5 Proposal Response Format

Proposals submitted for consideration should follow the format and order of presentation described below:

- A. **Cover Letter:** The cover letter should exhibit the Proposer's understanding and approach to the project. It should contain a summary of Proposer's ability to perform the services described in the RFP and confirm that Proposer is willing to perform those services and enter into a contract with the Parish.

ATTENTION: Please indicate in the Cover Letter which of the following applies to the signer of this proposal. Evidence of signature authority shall be provided upon the Parish's request.

1. The signer of the proposal is either a corporate officer who is listed on the most current annual report on file with the secretary of state **or** a member of a partnership or partnership in commendam as reflected in the most current partnership records on file with the secretary of state. **A copy of the annual report or partnership record must be submitted to the Parish before contract award.**
2. The signer of the proposal is a representative of the Proposer authorized to submit this proposal as evidenced by documents such as, corporate resolution, certification as to corporate principal, etc. **If this applies, a**

copy of the resolution, certification or other supportive documents must be submitted to the Parish before contract award.

The cover letter should also:

1. Identify the submitting Proposer and provide its federal tax identification number;
2. Identify the name, title, address, telephone number, fax number, and email address of each person authorized by the Proposer to contractually obligate the Proposer; and
3. Identify the name, address, telephone number, fax number, and email address of the contact person for technical and contractual clarifications throughout the evaluation period.

- B. **Table of Contents:** Organized in the order cited in the format contained herein.
- C. **Proposer Qualifications and Experience:** History and background of Proposer, financial strength and stability, related services provided to government entities, existing customer satisfaction, volume of merchants, etc. Proposer should specifically provide a description of all relevant consulting assignments similar to the Project requested herein which have been completed by the Proposer within the last three (3) years ("Recent Projects").

The description of any such Recent Projects should include the following:

1. Name of the client;
 2. Year of the assignment and length of time to complete the project;
 3. Nature of the services rendered; and
 4. Professionals assigned to the project who are also proposed to serve on this assignment.
- D. **Proposed Solution/Technical Response:** Illustrating and describing proposed technical solution and compliance with the RFP requirements.
- E. **Innovative Concepts:** Presentation of innovative concepts, if any, for consideration.
- F. **Project Schedule:** Detailed schedule of implementation plan. This schedule is to include implementation actions, timelines, responsible parties, etc.
- G. **Financial Proposal:** **Proposer's fees and other costs, if any, shall be submitted on Attachment "A"**. Prices proposed shall be firm for the duration of the contract. This financial proposal shall include any and all costs the Proposer wishes to have considered in the contractual arrangement with the Parish.
- H. **References:** Proposer should provide names, addresses, telephone numbers and contact persons for five (5) other public jurisdictions for which comparable services have recently been rendered, including a description of the services provided.

- I. **Customer Service:** Each Proposer should submit a provision for customer service, including personnel assigned, toll-free number, and account inquiry, etc.
- J. **Resumes:** Each Proposer should submit resumes for account manager, designated customer service representative(s) and any other key personnel to be assigned to this Project, including those of subcontractors, if any.
- K. **Financial Stability Statement:** Each Proposer should submit information demonstrating the Proposer's financial stability (financial statements, annual reports, or similar data for the last three years).
- L. **Additional Information:** Each Proposer should submit any other information deemed pertinent by the Proposer including terms and conditions which the Proposer wishes the Parish to consider.
- M. **Acknowledgment and Waiver:** Proposer shall execute and have notarized an Acknowledgment and Waiver (Attachment "C" hereto).
- N. **Multiple Copies of Response:** Each Proposer shall submit one (1) signed original response. Four (4) additional copies of the proposal should be provided, as well as one (1) redacted copy, if applicable (See Section 5.2). **one (1) electronic copy via USB or CD.**

PART II: SCOPE OF WORK/SERVICES

2.1 Scope of Work/Services

Requests for proposal to serve as fiscal agent are hereby solicited by St. Tammany Parish Government, in accordance with the provisions of the applicable laws of the State of Louisiana, including Revised Statutes 39:1211 through 39:1245.

The fiscal agent functions requested in this RFP include:

- (1) Depository services for collection and receipt of Parish funds
- (2) Disbursement services to issue and clear Parish payments
- (3) Electronic payments and e-commerce capabilities
- (4) Cash position management and reporting tools
- (5) Armored courier service
- (6) Safekeeping and custodial services for Parish-owned investment securities and collateral securities
- (7) Investment tracking and reporting services
- (8) Debt service sinking fund monitoring and payment services

2.2 Period of Agreement

This contract will be for a period of two (2) years from January 1, 2022 through December 31, 2023. St. Tammany Parish shall, at its option and in its sole discretion, have the right to extend this agreement upon the same terms and conditions as contained herein, for two (2) successive two (2) year periods.

The term of any contract resulting from this solicitation shall begin on the date of the Parish President's signature or approval in writing by the Parish President or designee. The contract shall terminate pursuant to the terms and conditions of section 5.31 herein, the terms and conditions of the contract, operation of law, as agreed between the parties, or upon satisfactory completion of all services and obligations described in the contract.

2.3 Price Schedule

Prices proposed by the Proposers shall be firm for the term of the contract. The price proposed should contain all pricing information relative to performing the engagement as described in this request for proposals. The Parish will not be responsible for expenses incurred in preparing and submitting the proposal. Such costs should not be included in the proposal.

The prices proposed should include a schedule for each of the six (6) fiscal years anticipated

2.4 Deliverables

The deliverables listed in this section are the minimum desired from the successful Proposer. Every Proposer should describe what deliverables will be provided per their proposal and how the proposed deliverables will be provided.

2.5 Location

The Proposer must have depository banks domiciled or have a branch office in the Parish.

PART III: EVALUATION

The evaluation committee shall assign points to its evaluation of each Proposal as follows:

| Evaluation Criteria | Possible Points |
|---|------------------------|
| Compliance with the RFP | 10 |
| Qualifications and Experience of the Proposer | 15 |
| Comprehensiveness of Services Provided | 25 |
| Charges for Services | 20 |
| Interest Rate Offered | 20 |
| Proposal Quality and References | 10 |
| Total | 100 |

The proposal will be evaluated in light of the material and the substantiating evidence presented to the Parish, not on the basis of what may be inferred.

The scores will be combined to determine the overall score. The Proposer with the highest overall score will be recommended for award.

PART IV: PERFORMANCE STANDARDS

4.1 Performance Requirements

A. Mandatory Elements – The Proposer must provide a statement certifying that the Proposer can comply with each of the following items:

1. **Institution**: The Proposer must currently be, and remain throughout the term of the contract, a banking institution which is statutorily mandated to be a stock-owned federally insured depository institution organized under the laws of this state or of any other state of the United States, or under the laws of the United States (R.S. 39:1213).
2. **Security**: The Proposer must currently be able to, and remain able to throughout the term of the contract, give security for the safekeeping and payment of the deposits and shall perform other services for the depositing authority as and in the manner provided by law (R.S. 39:1218). The Proposer will be required to deposit and maintain with an unaffiliated bank that security designated by R.S. 39:1221 (R.S. 39:1219).
3. **Statement of Condition**: The most recent (at the close of business in the first day of the month prior to the month in which the Proposal is made) sworn Statement of Condition of the Proposer shall be attached hereto (R.S. 39:1220). At no time shall

the deposits of St. Tammany Parish exceed the capital stock, declared surplus and undivided profits of the bank. The Proposer shall provide a sworn Statement of Condition within thirty (30) working days of each calendar quarter during the period of this agreement.

4. **Fees**: The Proposer may not throughout the term of the contract assess a fee for credit inquiries, deposit verifications, or audit confirmations concerning accounts of the depositing authority (R.S. 39:1220).
5. **Checks and Drafts**: The Proposer must throughout the term of the contract pay at par and receive on deposit at par all checks and drafts drawn by or deposited for the accounts of the Parish (R.S. 39:1220.1).
6. **Location**: The Proposer must throughout the term of the contract have depository banks domiciled or have a branch office in the Parish.

B. Technical Qualifications

1. **Qualifications and Experience of the Proposer**: The Proposer shall provide a profile with the following information:
 - a. History and background of Proposer;
 - b. Financial strength and stability;
 - c. Domicile of Proposer;
 - d. Location of all branches in St. Tammany Parish with a brief description of services provided at each branch;
 - e. Total number of employees in St. Tammany Parish;
 - f. Whether Proposer has public/government funds section or department;
 - g. Total number of employees with primary responsibilities in public/government funds services;
 - h. Total number of employees in St. Tammany Parish with primary responsibilities in public/government funds service;
 - i. Total public/government funds held or administered for St. Tammany Parish public/government agencies – on average for the two-year period of 2019-2020 and on average for the five years preceding 2019-2020;
 - j. Description of any such recent services for other St. Tammany Parish public/government agencies;
 - k. Names, addresses, telephone numbers and contact persons for no more than ten (10) other public jurisdictions for which comparable services have recently been rendered, including a description of the services provided.
2. **Comprehensiveness of Services Provided**: The Proposer must provide written responses to the following items to determine the availability of services. The responses should be presented in the following format: Topic, Sub-Topic, Question Number, Question, and Response to Question:

1. Collections

General

Collections

1. Given your understanding of our current system, list and briefly describe any special collection services that you think we should consider. (Note that we may ask more detailed questions about these services in other sections of this request for proposal.)
2. Why are your collection services the best choice for our organization?
3. If you have different service charges that vary based on the location of the drawee point (e.g., HDGS/controlled disbursement point, Fed City point, Fed Country point), explain your rationale for your uneven pricing policy. Explain briefly why it should be much more expensive to present checks back to one point over another.

Remote Capture of Checks (ARC and Check 21)

1. Do you offer a remote capture service? If not, do you plan to offer one and when will the service be available? If you do not currently offer or plan to offer a remote capture service, skip the remaining questions in this section and go on to the next section.
2. Explain how your remote capture service works, using diagrams and/or other visual aids that are applicable. Indicate situations where remote capture is not applicable.
3. Do business check deposits have to be processed separately from consumer check payments?
4. Does your remote capture service allow us to convert checks from retail payors to the Accounts Receivable Conversion (ARC) format in addition to generating Image Replacement Documents (IRDs)? Describe payment format options.
5. Would you recommend remote capture services (either ARC or Check 21 or both) for us? If so, briefly describe (verbally and/or schematically) how we would use the service, including any limitations we might face and the level of local training needed.
6. Do you recommend a pilot program initially or a full roll-out? Explain the pros and cons of each alternative for our situation.
7. Discuss how we can decide if a location is suited for remote capture (ARC or Check 21) services.
8. What equipment options do you recommend? What is the approximate cost of the equipment? Do you accept transmission from other types of equipment? Explain any limitations or restrictions.
9. What are the benefits to us from remote capture?
10. Describe a typical implementation of remote capture, including typical timing, testing, and one-time implementation charges.
11. If we capture check images at our location(s), what do we do with the actual checks after they have been transmitted? Is there a standard time for retaining the actual checks?
12. Describe your pricing structure for remote capture services.

Return Item Processing

1. Describe your return procedures for checks, including policies regarding the acceptance of a return item and how return items are posted.
2. How are rejected items, including damaged checks, reprocessed? How long does it take?
3. Describe your method of credits/charges, and how you notify us of adjustments to deposits.
4. How many times can you redeposit paper checks? Is the number of times variable or negotiable? Explain any limitations or restrictions.
5. Do you offer services that can automatically convert return items into ACH debits, using the RCK (return check conversion) format? Explain any limitations or restrictions, such as the number of times an automated item can be redeposited, advantages of using the RCK format, and other relevant factors.
6. Do you offer a centralized return item processing service that directs all return items to your bank for further processing? If so, describe the benefits and costs for this service as well as any limitations or restrictions, such as the type of depository account or transaction it may be limited to.
7. Describe your pricing for returned item processing services.

Over-the-Counter Check Deposits

1. Please list the address of the branches nearest to us that we will use to make over-the-counter deposits. If you do not have a branch near us, please describe how you recommend we make daily deposits.
2. What is the latest we can make an over-the-counter deposit for same-day ledger credit at the branch nearest to us?
3. Is there an even later deadline at another branch or processing center? If so, describe how we can obtain this later service.
4. Do you offer armored car services if the nearest branch is inconvenient? If so, describe the services.
5. Are there any limitations or restrictions associated with providing armored car services, such as minimum or maximum sizes of orders, that we need to consider?
6. Describe how this service will be priced for our use.
7. Can we compensate your bank like other bank services, i.e., via account analysis, or must we pay a separate, stand-alone fee?
8. What availability will we receive for over-the-counter deposits?
9. Describe your night deposit services.

Over-the-Counter Cash Deposits

1. Describe how cash deposits can be handled.
2. Do you offer deposit reconciliation services? If so, describe how the service works in general and how we can use it as well as related unit costs/charges.

3. Do you use a third party to process deposits? If so, provide the address where the cash deposits must be delivered. Show alternate addresses if there is a choice.
4. Describe your method of credits/charges and how you notify us of adjustments to deposits.
5. Must cash deposits be handled separately from check deposits? If so, explain what happens if cash is erroneously mixed with a check deposit.
6. Are there different charges for cash deposits than for check deposits? If so, explain.

Deposits

1. The Parish requires sequentially numbered deposit tickets with a unique 10-digit number in the MICR field for reconciling deposits. This number must be included in a monthly electronic deposit reconciliation file. Please submit the file layout, when, and how the file will be available for download.
2. Is there a fee for a monthly electronic deposit reconciliation file? If so, please provide information on the fee calculation.
3. Do you offer a daily courier service to pick up deposits from our different locations? If so, what would the cost be associated with this service for the following locations:
 - 21454 Koop Drive, Suite 2F1 Mandeville, LA
 - 70471 31078 LA 36, Lacombe, LA 70445
 - 350 N. Military Rd, Slidell, LA 70461
 - 520 Old Spanish Trail, Suite 2F, Slidell, LA 70458
4. Do you offer any other services that would ensure timely and/or daily deposits of funds? If so, please explain and give fee information.

2. Disbursements

General Disbursements

1. Given your understanding of our current system, list and briefly describe any special disbursement services that you think we should consider. (Note that we may ask more detailed questions about these services in other sections of this request for proposal.)
2. Can you provide monthly account statements as of the end of the month?
3. How long after the cut-off date will we receive bank statements, canceled checks (if applicable), and the reconciliation?
4. How long will you store canceled checks on and off your premises?
5. How long does it take to provide a copy of a canceled check?
6. Is the copy a microfilm copy or one produced by an imaging system? If the latter, has your legal counsel provided assurance that this is a legal copy acceptable by state and federal tax authorities? If so, please provide a copy of this opinion.
7. What methods do you provide for input and confirmation of stop payment orders?
8. How long do stop payment orders remain in effect?

9. If an imprest balance is maintained, can it be used to compensate for services? If not, explain.
10. The Parish requires a monthly electronic cleared check reconciliation file. Please submit the file layout, when, and how the file will be available for download.
11. Is there a fee for a monthly electronic cleared check reconciliation file? If so, please provide information on the fee calculation.

Positive Pay

1. Do you offer positive pay services for all types of disbursement accounts? Do you offer payee positive pay? Explain any restrictions.
2. Do you offer more information on positive pay rejects than check number and check amount, such as payee name? If so, describe what other information you can provide. If not, discuss whether you plan to add further information and, if so, when.
3. Do you offer reverse positive pay? If so, describe how this alternative works, any limitations there are on the service, and how it compares with standard positive pay services.
4. Explain how the liability for check fraud is affected by using positive pay services.
5. What are the typical turnaround deadlines for notifying you on suspended items? Does this differ for reverse positive pay?
6. Explain how rejected items are affected by positive pay—i.e., can such items slip through the positive pay “filter”?
7. Do we have to select full reconciliation services to obtain positive pay services? Explain your requirements.
8. Are you planning any enhancements to your positive pay services over the next 12-18 months? If so, briefly describe them.
9. Describe your pricing for positive pay services.

Account Reconciliation

1. Provide samples and descriptions of full and partial account reconciliation services and high order prefix services, including relevant deadlines, etc.
2. Describe the types of input media you accept for account reconciliation. Include any file specifications as appropriate.
3. Can you provide an analysis of our check clearing times as part of your account reconciliation services? If so, describe any limitations and restrictions and attach a sample report if available.
4. Describe your pricing for account reconciliation services.

Zero Balance Arrangements

1. Can we establish zero balance accounts (ZBAs) that can be tied to our main concentration

account? Are there any limitations on the type of ZBA, e.g., depository account vs. disbursement account? Describe any limitations.

2. Can we establish a multilevel ZBA, i.e., a zero balance account that is tied to another zero balance account that is in turn tied to a main account? If so, how many levels of zero balancing are possible? Describe any limitations or potential problem areas.
3. How many zero balance sub-accounts do you allow to be funded from a single master account?
4. If we use a master account with sub-accounts, how will you report daily clearings for each account?
5. Is there only one entry daily from each zero balance account to the master? If not, describe how debit and credit postings work.
6. Will the postings from ZBAs to the main account be accessible through a detailed report of the main account, or must we access each account separately? Provide a sample or describe the level of detail available.
7. Does the daily (or other) entry reflect adjustments? If not, describe how adjustments to a zero balance account will be handled.
8. Do zero balance accounts protect funds from being commingled? If so, discuss how they do this and how good the protection is.

Coin and Currency Provision

1. Briefly describe your services for providing coin and currency.
2. Can you provide our daily coin and currency needs from your bank? If not, recommend a way for us to obtain it.
3. Identify the locations from which we can obtain the coin and currency.
4. Do you require notice in advance before we can pick up coin and currency at your bank branch? If so, briefly discuss.
5. Are there any limitations or restrictions associated with providing cash and currency, such as minimum or maximum sizes of orders, that we need to consider?
6. Describe how this service will be priced for our use.
7. Can we compensate your bank like other bank services, i.e., via account analysis, or must we pay a separate, stand-alone fee?

3. Electronic Payments and E-Commerce

General ACH

1. Describe your controls to prevent file loss, duplicate transmissions, file transmission protection, and acceptance of duplicate returns and whether these controls differ by type of application.
2. Describe your system security in general, indicating any procedures that are required for specific transactions.

3. What communications protocols are used to transmit files?
4. What are your file delivery and transmission deadlines? Do they vary by application? If so, provide them in that manner. Complete this example: when is the latest we can submit a file for direct debits dated on a Friday?
5. Can you provide an ACH warehousing capability for our direct debits? If so, indicate the maximum warehousing periods and how long changes can still be made before debits are released from warehouse status.
6. Can you accept any input format, including nonstandard record formats, and convert them to a standard ACH format? List all formats currently supported.
7. Can transactions be transmitted by personal computer? If so, describe any limitations or special considerations.
8. Do we have the ability to change, add, or delete an item after transmitting our ACH file to you? If yes, describe the procedures, cutoff times, and a cost schedule.
9. Describe how you handle notifications of change and help correct ABA transit routing numbers for closed or merged institutions.
10. Describe the procedures, reports, notification times and methods, etc. associated with returned electronic items originating from transactions we have sent or received.
11. How extensive of a history file do you maintain for ACH transactions? Is it accessible on-line?
12. Do you provide the capability to access a data base of ACH member institutions and/or a master data base of ABA transit routing codes? If so, describe the data bases and how they are accessed.
13. Discuss your experience (if any) with fraud in the ACH or EDI environment.

Direct Debit Programs

1. Describe your experience with direct debit programs, including, if appropriate, samples of the more successful programs, a brief, general description of your direct debit processing product or service, and whether there are any plans to change your product in the next two years.
2. Describe how we will receive information about direct debits that have been successfully processed, including the time(s) when our account will receive good funds.
3. How long is your normal turnaround time for file inquiries? Describe any restrictions or limitations.
4. Describe how you verify the accuracy and suitability of potential receiving points for direct debits, including how you handle a situation where our customer's bank account does not recognize our direct debit, as well as your controls to prevent file loss, duplicate transmissions, acceptance of duplicate transactions, or partial transmission loss.
5. Describe and provide samples showing how you can provide assistance in marketing preauthorized debits to our customers. Also include samples for consumers, small and larger businesses, if different.
6. Describe the installation support and level of training that you provide to our treasury and systems employees

7. Describe your customer service/help desk capabilities, including hours during which it is available.
8. Provide a detailed cost estimate for a direct debit program. The estimate should be for regular processing, one-time implementation fees, and recurring maintenance charges (if any). It should also itemize flat fees and per item charges.

Other Electronic Collections

1. Describe any special services you offer that can help facilitate and regularly process electronic payments from our customers.
2. How long is your normal turnaround time for file inquiries? Describe any restrictions or limitations.
3. Describe and provide samples showing how you can provide assistance in marketing electronic payments to our customers.
4. Will ACH payments from customers (debits and credit) be itemized on our daily bank information reports?
5. Describe your pricing for electronic receipts.

Direct Deposit of Payroll

1. What are your cut-off times for delivery of a direct deposit file? Use as an example a payroll that is due to be distributed on a Friday.
2. Do you require any prefunding for direct deposit? If so, explain what is required, what the deadlines are, and what happens if prefunding is late.
3. Describe the acknowledgments you provide when you receive a file transmission, including the level of detail, the information required and the reconciliation procedures involved.
4. Explain how you verify the accuracy and suitability of potential receiving points for direct deposit.
5. Describe how return items are handled.
6. Can we use the direct deposit service for payments other than payroll, such as travel expenses? Explain any limitations or differences.
7. Are there any restrictions on the software we can use to prepare our payroll in order to be compatible with your direct deposit services? If so, describe.
8. Describe the direct deposit products that you offer (if any) to individuals who do not maintain any bank accounts.
9. Describe your pricing for direct deposit.

Electronic Payments

1. Discuss the electronic payment services your bank offers that you believe are of interest to us.

2. Do you offer any special products/software for preauthorized ACH debits? Provide samples for each type.
3. Describe how we can interface with your bank to complete ACH credit transactions. Describe any limitations.
4. Do you offer electronic bill payment services, such as electronic invoice presentation and payment (EIPP) services? If so, describe how we can use these services.
5. If we use your EIPP services, how can you help us determine which of our vendors/suppliers are able to receive and process electronic bill payments?
6. If we use your EIPP services, how are disputed items handled?
7. Provide a pricing schedule for your electronic payment services.
8. Do you plan to make any major changes or additions to your electronic payment services over the next 12-18 months? If so, describe these changes and provide approximate times when they will become available.

Financial E-Commerce (EC) and Electronic Data Interchange (EDI)

1. Does your bank use EC and/or EDI (internally)? If so, describe your use and experience levels.
2. Discuss your view of future electronic commerce growth and your institution's part in the growth.
3. Does your bank have a separate EDI product development/management staff? If so, are they different from ACH product management staff or do they have other responsibilities?
4. Describe your bank's involvement with major customers in financial EDI pilot programs over the past year, using examples where applicable.
5. Indicate which industry and/or technical groups your bank actively participates in and provide the name of the representative(s) to each group.
6. Describe any short-term future plans to increase your bank's EDI capabilities.
7. Indicate whether your bank *cannot* accept and originate any of the following payment formats (add any others that you believe should be included but are not shown on the list): ANSI 820, PPD, CCD, CCD+, CTX, and EDIFACT PAYORD/PAYEXT.
8. Describe any restrictions or limitations on combining input formats and transaction types.
9. Can you provide us with a combined file of all outputs? Describe any limitations.
10. How soon after receiving an incoming ANSI 820 payment order/remittance advice file do you conduct a syntax edit? Is this done on all such files? If not or if no edits are performed, explain why.
11. Are your processing cut-off times the same for all types of processing media? If not, describe the differences.
12. Can we deliver remittance advices separately through a value added network (VAN)? Describe any limitations.
13. Can you back value ACH debits or credits if a bank or clearing house error occurs? If so, describe any restrictions or limitations.

4. Cash Position Management

Account Structure

1. Considering that we wish to organize our services in an efficient, sophisticated manner, what overall account structure or network would you recommend? (Use flow charts or other visual aids as appropriate.)
2. With your proposed account structure, how easy is it for us to move funds from one Parish account to another?
3. What level of control over cash movement (e.g., providing the capability to prevent unauthorized funds transfers) can you offer? Describe any restrictions or limitations.
4. What other safeguards or special services, such as check and electronic fraud prevention or commingling prevention, do you offer?

Wire Transfers

1. Does your bank offer all of the following types of domestic (U.S.) wire transfer initiation capabilities (indicate which ones, if any, you do not offer): Automated–repetitive, automated–non-repetitive, manual–repetitive, manual–non-repetitive, drawdown transfers, freeform transfers, and internal bank (book) transfers?
2. Are there different controls for repetitive, non-repetitive, and freeform wire transfers? If so, describe them.
3. Do you permit terminal (PC) initiation of automated clearing house (ACH) transfers? If so, please list all possible transfer types for ACH debits and ACH credits.
4. Describe how we can make nonrecurring wire transfers.
5. Describe your procedure for notifying us when a wire transfer fails.
6. Are there specific times during the business day or during the month when wire transfers are more likely to be delayed?

Information Reporting

1. Provide your minimum and recommended hardware and software requirements. Also include all types of systems that are supported.
2. Describe the system security alternatives you offer, including whether passwords, authentication, and/or encryption techniques are available, whether your procedures satisfy the UCC 4A commercially reasonable standards, and how sophisticated and flexible they are. Include any appropriate comparisons.
3. Describe any enhancements planned for your information reporting system over the next 18 months.
4. Do you provide a Web-based (Internet) product for accessing bank balances and transaction details? If so, explain how this works and how we can initiate the use of this product. If not, discuss whether you have any plans to offer such a product in the next 12-

18 months.

5. Provide a sample of PC screens that show all of the information reports that we can obtain from your system. Indicate which reports are accessible through a PC.
6. Describe your contingency plans for cases where your system is inaccessible via PC. Also indicate the number of occasions and amount of system down time over the past year.
7. How long is information maintained? Is the full history accessible via PC? If not, describe the procedures for accessing the information.
8. Do you charge for maintaining historical data on file? If so, explain the pricing structure.
9. Describe your system back-up and disaster recovery plans.

Integrating Information

1. Do you report balance and/or detailed transaction information in Bank Administration Institute (BAI) format? If so, provide a detailed file description and discuss any differences in file layouts.
2. Do you offer or support an ANSI balance reporting transaction set? If so, describe any limitations or restrictions that you consider relevant.
3. Describe how your detailed account transaction reports can be used to track transfer and other deposit or disbursement information.
4. Describe how we can use your information system or other service to establish links with our internal ERP system (e.g., MUNIS).
5. Describe your experience assisting clients to establish direct links between treasury and accounting.
6. What problems have your other clients faced in attempting to set up direct links from your bank's information reporting system and their internal ERP system?

Miscellaneous Bank Charges

1. Explain your bank's current policy on passing along charges and/or refunds from regulatory bodies.
2. Do you pass along FDIC charges explicitly on the monthly account analysis statement? If so, provide a detailed calculation to support the charge. Do you charge on average balances or balances at specific points in time?
3. If you receive refunds on FDIC assessments, do you share them with your customers? Explain your rationale for sharing or not sharing.
4. Describe how you will measure our *daylight overdraft* status throughout the day. Will we be able to receive this information in a real-time, on-line mode?

Business Credit Cards

1. Which card or cards do you offer in your corporate business credit card program?

2. Indicate whether you offer different levels of cards, such as enhanced status cards that offer larger credit limits or other benefits. Describe the extra benefits offered and whether there are any additional charges or limitations.
3. Is your institution the issuing bank or is a third party used? Describe how this works.
4. What fees will we be billed for the service? How are these payable?
5. Indicate whether there are volume discounts or other fee reductions and identify the volume levels.
6. What fees will the card holder be billed for the service? How are these payable?
7. Describe any limitations or requirements that apply to individual card holders, such as credit limits, billing dates and procedures, interest on past due amounts, and lost card procedures.
8. Can we set or recommend credit limits for our employees? Describe any limitations.
9. Do you provide regular (e.g., monthly) reports to us on card usage, delinquencies, and related activities? If so, describe all reports and provide a sample of each one.
10. Describe any other features or service provisions that you believe we might find useful. For example, can a business credit card program be tied to a purchasing/procurement card program?
11. Provide a detailed cost estimate for our service, based on the data supplied. Include all special one-time charges, regular monthly charges, any optional charges that we may select at implementation or at a later date, and how charges are payable.

5. Customer/Bank Relationship

Pricing and References

1. Please provide an unbundled estimate (in the form of your regular account analysis statement) of monthly (and one-time) charges for all of the services requested. The pricing components should be clearly labeled, and the total charges should be computed using the average volumes provided with this RFP.
2. If you show zeros (0's) on your estimate, indicate whether this means that there is no charge for the item or that you do not have enough data to provide an estimate. Contact us to obtain the data items you require to complete the estimate. Do not provide us with an incomplete estimate.
3. Will your bank guarantee the prices shown in the proposal for at least six years? Describe historical pricing levels, annual price changes, and any other aspects of your bank's pricing policies that are appropriate.
4. If you offer interest, what is the estimated rate you will provide on our operating and depository account? How is interest calculated and when is it applied to the accounts?
5. Will your bank agree to a service level agreement for the services included in this proposal? Describe any restrictions or limitations. If you will not agree to such an agreement for some services, identify those services.
6. Describe a typical service level agreement that you have entered into with a customer, providing appropriate documentation.

Customer Service

1. Will there be a special person assigned to our account for inquiries and/or problem resolution, or is there a special group assigned such tasks? Describe any restrictions or limitations.
2. Is there a technical support hot-line? Is it available toll-free? Indicate hours of the day when it is available.
3. Describe your backup procedures for times when the system is unavailable to us.
4. Please describe any limitations on your customer service, such as hours of availability or special emergency procedures.
5. Describe how you measure and evaluate the quality of your services.
6. Describe any short-term plans (i.e., within the next year) to enhance or otherwise change the service. Will such changes be offered to us without charge and with implementation support?
7. Do you support customer groups or round tables to discuss service issues?

Implementation Support

1. How long does a typical installation take? What factors might alter that time period?
2. Provide a proposed, detailed implementation schedule for our project, including the names or titles of the parties responsible for each major task.
3. Describe the testing procedures.
4. Are any third parties involved in training or system development? If so, describe their roles.
5. Do you provide written user manuals for all services? How many copies do you provide? How often are the manuals updated? Is there a cost to receive updates routinely?
6. Is there an on-site training facility at your location? If so, describe how it is used.

Relationship Management and New Services Offered

1. How often will our account/contact officer call on us?
2. How often will cash management and/or other product specialists call on us?
3. Explain how we can be assured that you will commit to meeting with us and our staff at least quarterly.
4. Describe how you plan to inform us about new banking services that you believe may be of interest to our organization.
5. Are there other services that you are currently offering to governments like us that you believe we should consider (beyond the scope of this RFP)?

Compensation and Written Agreements

1. Can we compensate your bank for services by explicit fees, collected balances, or a

combination of the two? Describe any limitations or policies related to compensation methods.

2. What is your bank's compensation horizon for fee compensation, i.e., how frequently must any under-compensation be resolved? Does this also apply to fee/ balance combinations?
3. If compensating balances are used, how long can excess balances be rolled over to be used for future compensation? Does this also apply to fee-balance combinations?
4. Are service charges different (i.e., higher) if we compensate by fees? Are they different if we use a fee-balance combination method?
5. If we pay by fees, describe how fee payments are made, including acceptable payment methods and timing.
6. How is your bank's monthly earnings credit rate calculated? Provide historical rates for the past year.
7. Does your bank charge for daily ledger overdrafts if the average ledger balance in our account for the month is positive? If so, what rate is used?
8. Does your bank charge for daily collected overdrafts if the average collected balance in our account for the month is positive? If so, what rate is used?
9. Is there a way that we can offset potential daily overdrafts in one account with excesses available in other accounts? If so, describe how this would work.
10. Will your bank accept our standard documentation, or must we complete your bank's documentation? If the bank's documentation must be used, provide sample copies and instructions.

6. Security and Investments

Collateral

1. Do you provide online access to collateral statements, including a listing of securities pledged by and the market value assigned to each security?
2. How do you monitor collateralization of our accounts? How frequently does the monitoring occur?
3. How long does it take to provide access to online availability or to disburse monthly reports to us?
4. Provide an example of online schedules, monthly reports, or other documents which would be available.

Investments

1. What does your bank have to offer in terms of acceptable investments for governmental entities in accordance with Louisiana state law?
2. What is the fee structure associated with maintenance and purchasing/selling of securities?
3. Do you provide online access to investment statements?

4. How do you monitor compliance with our investment requirements? Provide an example of online schedules, monthly reports, or other documents which would be available.

Debt Service

1. Do you provide debt service sinking fund accounts?
2. What is the fee structure and rate of investment return associated with maintenance of debt service sinking fund accounts?
3. Do you provide online access to debt service sinking fund statements?
4. How do you monitor compliance with our debt service sinking fund requirements? Provide an example of online schedules, monthly reports, or other documents which would be available.
5. Can you transfer funds on a monthly basis from our operating account to our debt service accounts? Can you disburse funds on our behalf to other agencies as necessary for principal and/or interest requirements?

4.2 Performance Measurement/Evaluation

The purpose of the technical proposal is to demonstrate the experience, qualifications, and competence of, as well as the comprehensiveness of services provided by, the Proposer seeking to serve as Fiscal Agent of the Parish. As such, the substance of proposals will carry more weight than their form or manner of presentation. The technical proposal should address all the points outlined in this request for proposals. The proposal should be prepared simply and economically, providing a straight forward, concise description of the Proposer's capabilities to satisfy the requirements of this request for proposals.

PART V: GENERAL PROVISIONS

5.1 Legibility/Clarity

Responses to the requirements of this RFP in the formats requested are desirable with all questions answered in as much detail as practicable. The Proposer's response is to demonstrate an understanding of the requirements. Proposals prepared simply and economically, providing a straightforward, concise description of the Proposer's ability to meet the requirements of the RFP is also desired. Each Proposer is solely responsible for the accuracy and completeness of its proposal.

5.2 Confidential Information, Trade Secrets, and Proprietary Information

The designation of certain information as trade secrets and/or privileged or confidential proprietary information shall only apply to the technical portion of the proposal. The cost proposal will not be considered confidential under any circumstance. Any proposal copyrighted or marked as confidential or proprietary in its entirety may be rejected without further consideration or recourse.

For the purposes of this procurement, the provisions of the Louisiana Public Records Act (LSA-R.S. 44.1, *et. seq.*) will be in effect. Pursuant to this Act, all proceedings, records, contracts, and other public documents relating to this procurement shall be open to public inspection. Proposers are reminded that while trade secrets and other proprietary information they submit in conjunction with this procurement may not be subject to public disclosure, protections must be claimed by the Proposer at the time of submission of its Technical Proposal. Proposers should refer to the Louisiana Public Records Act for further clarification.

The Proposer must clearly designate the part of the proposal that contains a trade secret and/or privileged or confidential proprietary information as “confidential” in order to claim protection, if any, from disclosure. The Proposer shall mark the cover sheet of the proposal with the following legend, specifying the specific section(s) of his proposal sought to be restricted in accordance with the conditions of the legend:

“The data contained in pages _____ of the proposal have been submitted in confidence and contain trade secrets and/or privileged or confidential information and such data shall only be disclosed for evaluation purposes, provided that if a contract is awarded to this Proposer as a result of or in connection with the submission of this proposal, the Parish of St. Tammany shall have the right to use or disclose the data therein to the extent provided in the contract. This restriction does not limit the Parish of St. Tammany’s right to use or disclose data obtained from any source, including the Proposer, without restrictions.”

Further, to protect such data, each page containing such data shall be specifically identified and marked “CONFIDENTIAL”.

Proposers must be prepared to defend the reasons why the material should be held confidential. If a competing proposer or other person seeks review or copies of another proposer's confidential data, the Parish will notify the owner of the asserted data of the request. If the owner of the asserted data does not want the information disclosed, it must agree to indemnify the Parish and hold the Parish harmless against all actions or court proceedings that may ensue (including attorney's fees), which seek to order the Parish to disclose the information. If the owner of the asserted data refuses to indemnify and hold the state harmless, the Parish may disclose the information.

The Parish reserves the right to make any proposal, including proprietary information contained therein, available to Parish personnel, the Parish Council, or other Parish and state agencies or organizations for the sole purpose of assisting the Parish in its evaluation of the proposal. The Parish shall require said individuals to protect the confidentiality of any specifically identified proprietary information or privileged business information obtained as a result of their participation in these evaluations.

If your proposal contains confidential information, you should also submit a redacted copy along with your proposal. If you do not submit the redacted copy, you will be required to submit this copy within 48 hours of notification from the Procurement Department. When submitting your

redacted copy, you should clearly mark the cover as such - "REDACTED COPY" - to avoid having this copy reviewed by an evaluation committee member. The redacted copy should also state which sections or information have been removed.

5.3 Proposal Clarifications Prior to Submittal

5.3.1 Pre-proposal Conference

Omitted as not applicable to this RFP.

5.3.2 Proposer Inquiry Periods

The Parish shall not and cannot permit an open-ended inquiry period, as this creates an unwarranted delay in the procurement cycle and Parish operations. The Parish reasonably expects and requires *responsible and interested* proposers to conduct their in-depth proposal review and submit inquiries in a timely manner.

An inquiry period is hereby firmly set for all interested Proposers to perform a detailed review of the proposal documents and to submit any written inquiries relative thereto. *Without exception*, all inquiries MUST be submitted in writing by an authorized representative of the Proposer, clearly cross-referenced to the relevant solicitation section (even if an answer has already been given to an oral question during a Pre-proposal Conference). All inquiries must be received by the close of business on the Inquiry Deadline date set forth in Section 1.3 Schedule of Events of this RFP. Only those inquiries received by the established deadline shall be considered by the Parish. Inquiries received after the established deadline shall not be entertained.

Inquiries concerning this solicitation may be delivered by e-mail or hand-delivery to:

St. Tammany Parish Government Procurement Department
Attn: Anthony Smith
21454 Koop Drive, Suite 2F
Mandeville, Louisiana 70471

E-Mail: purchasing@stpgov.org

An addendum will be issued and posted at the Parish website, www.stpgov.org, to address all inquiries received and any other changes or clarifications to the solicitation. Thereafter, all proposal documents, including but not limited to the specifications, terms, conditions, plans, etc., will stand as written and/or amended by any addendum. No negotiations, decisions, or actions shall be executed by any Proposer as a result of any oral discussions with any Parish employee or Parish consultant. It is the Proposer's responsibility to check the Parish website frequently for any possible addenda that may be issued. The Parish is not responsible for a Proposer's failure to download any addenda documents required to complete an RFP.

Proposer shall be aware that this RFP is not subject to the Louisiana Public Bid Law or the Louisiana Procurement Code. As such, Proposers are not provided an opportunity to protest the process or results of this RFP.

5.4 Errors and Omissions in Proposal

The Parish will not be liable for any error in the proposal. Proposer will not be allowed to alter proposal documents after the deadline for proposal submission, except under the following condition: the Parish reserves the right to make corrections or clarifications due to patent errors identified in proposals by the Parish or the Proposer. The Parish, at its option, has the right to request clarification or additional information from the Proposer.

5.5 Proposal Guarantee

Omitted as not applicable to this RFP.

5.6 Performance Bond

Omitted as not applicable to this RFP.

5.7 Changes, Addenda, Withdrawals

The Parish reserves the right to change the Schedule of Events or issue Addenda to the RFP at any time. The Parish also reserves the right to cancel or reissue the RFP.

If the Proposer needs to submit changes or addenda, such shall be submitted in writing, signed by an authorized representative of the Proposer, cross-referenced clearly to the relevant proposal section, prior to the proposal opening, and should be submitted in a sealed envelope. Such shall meet all requirements for the proposal.

5.8 Withdrawal of Proposal

A Proposer may withdraw a proposal that has been submitted at any time up to the proposal closing date and time. To accomplish this, a written request signed by the authorized representative of the Proposer must be submitted to the Procurement Department.

5.9 Material in the RFP

Proposals shall be based only on the material contained in this RFP. The RFP includes official responses to questions, addenda, and other material, which may be provided by the Parish pursuant to the RFP.

5.10 Waiver of Administrative Informalities

The Parish reserves the right, at its sole discretion, to waive administrative informalities contained in any proposal.

5.11 Proposal Rejection

Issuance of this RFP in no way constitutes a commitment by the Parish to award a contract. The Parish reserves the right to accept or reject any or all proposals submitted or to cancel this RFP if it is in the best interest of the Parish to do so.

5.12 Ownership of Proposal

All materials (paper content only) submitted in response to this request become the property of the Parish. Selection or rejection of a response does not affect this right. All proposals submitted will be retained by the Parish and not returned to Proposers. Any copyrighted materials in the response are not transferred to the Parish.

5.13 Cost of Offer Preparation

The Parish is not liable for any costs incurred by prospective Proposers or Contractors prior to issuance of or entering into a Contract. Costs associated with developing the proposal, preparing for oral presentations, and any other expenses incurred by the Proposer in responding to the RFP are entirely the responsibility of the Proposer, and shall not be reimbursed in any manner by the Parish.

5.14 Non-negotiable Contract Terms

Non-negotiable contract terms include but are not limited to taxes, assignment of contract, audit of records, EEOC and ADA compliance, record retention, content of contract/order of precedence, contract changes, governing law, claims or controversies, and termination based on contingency of appropriation of funds.

5.15 Taxes

Any taxes, other than state and local sales and use taxes from which the Parish is exempt, shall be assumed to be included within the Proposer's cost.

5.16 Proposal Validity

All proposals shall be considered valid for acceptance until such time an award is made, unless the Proposer provides for a different time period within its proposal response. However, the Parish reserves the right to reject a proposal if the Proposer's acceptance period is unacceptable and the Proposer is unwilling to extend the validity of its proposal.

5.17 Prime Contractor Responsibilities

The selected Proposer shall be required to assume responsibility for all items and services offered in his proposal whether or not he produces or provides them. The Parish shall consider the selected Proposer to be the sole point of contact with regard to contractual matters, including payment of any and all charges resulting from the contract.

5.18 Use of Subcontractors

Each Contractor shall serve as the single prime contractor for all work performed pursuant to its contract. That prime contractor shall be responsible for all deliverables referenced in this RFP. This general requirement notwithstanding, Proposers may enter into subcontractor arrangements. Proposers may submit a proposal in response to this RFP, which identifies subcontract(s) with others, provided that the prime contractor acknowledges total responsibility for the entire contract.

If it becomes necessary for the prime contractor to use subcontractors, the Parish urges the prime contractor to use Louisiana vendors, including small and emerging businesses, a small entrepreneurship or a veteran or service-connected disabled veteran-owned small entrepreneurship, if practical. In all events, any subcontractor used by the prime should be identified to the Parish.

Information required of the prime contractor under the terms of this RFP, is also required for each subcontractor and the subcontractors must agree to be bound by the terms of the contract. The prime contractor shall assume total responsibility for compliance.

5.19 Written or Oral Discussions/Presentations

Written or oral discussions may be conducted with Proposers who submit proposals determined to be reasonably susceptible of being selected for award; however, the Parish reserves the right to enter into an Agreement without further discussion of the proposal submitted based on the initial offers received. Any such written or oral discussion shall be initiated by the Parish.

Any commitments or representations made during these discussions, if conducted, may become formally recorded in the final contract.

Written or oral discussions/presentations for clarification may be conducted to enhance the Parish's understanding of any or all of the proposals submitted. Any such written or oral discussions/presentations shall be initiated by the Parish. Proposals may be accepted without such discussions.

5.20 Acceptance of Proposal Content

The mandatory RFP requirements shall become contractual obligations if a contract ensues. Failure of the successful Proposer to accept these obligations shall result in the rejection of the proposal.

5.21 Evaluation and Selection

All responses received as a result of this RFP are subject to evaluation by the Evaluation Committee for the purpose of selecting the Proposer with whom the Parish shall contract.

To evaluate all proposals, a committee whose members have expertise in various areas has been selected. This committee will determine which proposals are reasonably susceptible of being selected for award. If required, written or oral discussions may be conducted with any or all of the Proposers to make this determination. Any such written or oral discussions shall be initiated by the Evaluation Committee.

Results of the evaluations will be provided by the Evaluation Committee to the Procurement Director. Written recommendation for award shall be made for the Proposer whose proposal, conforming to the RFP, will be the most advantageous to the Parish, price and other factors considered.

The committee may reject any or all proposals if none is considered in the best interest of the Parish.

5.22 Contract Negotiations

If for any reason the Proposer whose proposal is most responsive to the Parish's needs, price and other evaluation factors set forth in the RFP considered, does not agree to a contract, that proposal shall be rejected and the Parish may negotiate with the next most responsive Proposer. Negotiation may include revision of non-mandatory terms, conditions, and requirements. The Procurement Department must approve the final contract form and issue a purchase order, if applicable, to complete the process.

5.23 Contract Award and Execution

The Parish reserves the right to enter into a contract without further discussion of the proposal submitted based on the initial offers received.

The RFP, including any addenda, and the proposal of the selected Contractor will become part of any contract initiated by the Parish.

Proposers are discouraged from submitting their own standard terms and conditions with their proposals. Proposers should address the specific language in the sample contract in Attachment "B" of this RFP and submit any exceptions or deviations the Proposer wishes to negotiate. The proposed terms will be negotiated before a final contract is entered. Mandatory terms and conditions are not negotiable.

If the contract negotiation period exceeds thirty (30) days or if the selected Proposer fails to sign the contract within **twenty (20) calendar** days of delivery of it, the Parish may elect to cancel the award and award the contract to the next-highest-ranked Proposer.

Award shall be made to the Proposer with the highest points, whose proposal, conforming to the RFP, will be the most advantageous to the Parish, price and other factors considered.

The Parish intends to award to a single Proposer.

5.24 Acknowledgment and Waiver of Protest Rights

Proposer shall execute an Acknowledgment and Waiver (the "Waiver") (Attachment "C") and shall produce same to the Parish along with its proposal. Such Waiver shall state that Proposer has read this RFP and the Waiver, and understands that the Parish's obligations under this RFP are not dictated by Louisiana Public Bid Law or the Louisiana Procurement Code. As such, Proposer understands that it is provided no opportunity for protest and waives all such rights.

5.25 Notice of Intent to Award

Upon review and approval of the evaluation committee's and agency's recommendation for award, the Procurement Department will issue a "Notice of Intent to Award" letter to the apparent successful Proposer. A contract shall be completed and signed by all parties concerned on or before the date indicated in the "Schedule of Events." If this date is not met, through no fault of the Parish, the Parish may elect to cancel the "Notice of Intent to Award" letter and make the award to the next most advantageous Proposer.

The Procurement Department will also notify all unsuccessful Proposers as to the outcome of the evaluation process. The evaluation factors, points, evaluation committee member names, and the completed evaluation summary and recommendation report will be made available to all interested parties after the "Notice of Intent to Award" letter has been issued.

5.26 Insurance Requirements

The Contractor shall comply with all insurance requirements of the Parish as contained in Attachment "D". All policies of insurance shall meet the requirements of the Parish prior to the commencing of any work. The Parish has the right, but not the duty, to approve all insurance policies prior to the commencing of any work. Contractor shall furnish the Parish with certificates of insurance effecting coverage(s) required by the RFP (see Attachment "D"). The certificates for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf. The certificates are to be received and approved by the Parish before work commences. The Parish reserves the right to require complete certified copies of all required policies, at any time.

5.27 Subcontractor Insurance

The Contractor shall include all subcontractors as insured's under its policies or shall insure that all subcontractors satisfy the same insurance requirements stated herein for the contractor.

5.28 Indemnification and Limitation of Liability

Neither party shall be liable for any delay or failure in performance beyond its control resulting from acts of God or force majeure. The parties shall use reasonable efforts to eliminate or minimize the effect of such events upon performance of their respective duties under the contract.

Contractor shall be fully liable for the actions of its agents, employees, partners or subcontractors and shall fully indemnify and hold harmless the Parish from suits, actions, damages and costs of every name and description relating to personal injury and damage to real or personal tangible property caused by Contractor, its agents, employees, partners or subcontractors in the performance of the contract, without limitation; provided, however, that the Contractor shall not indemnify for that portion of any claim, loss or damage arising hereunder due to the negligent act or failure to act of the Parish. In connection therewith, the Contractor shall execute the Hold Harmless Agreement furnished by the Parish (Attachment "E"). Work may not commence until such Hold Harmless Agreement is executed by the Contractor and received by the Parish.

Contractor will indemnify, defend and hold the Parish harmless, **without limitation**, from and against any and all damages, expenses (including reasonable attorneys' fees), claims judgments, liabilities and costs which may be finally assessed against the Parish in any action for infringement of a United States Letter Patent with respect to the Products, Materials, or Services furnished, or of any copyright, trademark, trade secret or intellectual property right, provided that the Parish shall give the Contractor: (i) prompt written notice of any action, claim or threat of infringement suit, or other suit, (ii) the opportunity to take over, settle or defend such action, claim or suit at Contractor's sole expense, and (iii) assistance in the defense of any such action at the expense of Contractor. Where a dispute or claim arises relative to a real or anticipated infringement, the Parish may require Contractor, at its sole expense, to submit such information and documentation, including formal patent attorney opinions, as the Parish shall require.

The Contractor shall not be obligated to indemnify that portion of a claim or dispute based upon: (i) Parish's unauthorized modification or alteration of a Product, Material, or Service; (ii) Parish's

use of the Product, Material, or Service in combination with other products, materials, or services not furnished by Contractor; (iii) Parish's use in other than the specified operating conditions and environment.

In addition to the foregoing, if the use of any item(s) or part(s) thereof shall be enjoined for any reason or if Contractor believes that it may be enjoined, Contractor shall have the right, at its own expense and sole discretion as the Parish's exclusive remedy to take action in the following order of precedence: (i) to procure for the Parish the right to continue using such item(s) or part(s) thereof, as applicable; (ii) to modify the component so that it becomes non-infringing equipment of at least equal quality and performance; or (iii) to replace said item(s) or part(s) thereof, as applicable, with non-infringing components of at least equal quality and performance, or (iv) if none of the foregoing is commercially reasonable, then provide monetary compensation to the Parish up to the dollar amount of the Contract.

The Parish may, in addition to other remedies available to them at law or equity and upon notice to the Contractor, retain such monies from amounts due Contractor, or may proceed against the performance and payment bond, if any, as may be necessary to satisfy any claim for damages, penalties, costs and the like asserted by or against them.

5.29 Fidelity Bond Requirements

Omitted as not applicable to this RFP.

5.30 Payment

5.30.1 Payment for Services

The Parish shall pay the fiscal agent monthly in the form of a deduction from the operating account as a service charge. A detailed analysis statement is required to be provided to the Parish monthly listing the detail of all transactions, amounts, and other information included in the service charge deducted from the operating account. The Parish will audit the detail monthly in accordance with the fee schedule.

5.31 Termination

5.31.1 Termination of the Contract for Cause

The Parish may terminate the contract for cause based upon the failure of the Contractor to comply with the terms and/or conditions of the contract, or failure to fulfill its performance obligations pursuant to the contract, provided that the Parish shall give the Contractor written notice specifying the Contractor's failure. If within thirty (30) days after receipt of such notice, the Contractor shall not have corrected such failure or, in the case of failure which cannot be corrected

in thirty (30) days, begun in good faith to correct such failure and thereafter proceeded diligently to complete such correction, then the Parish may, at its option, place the Contractor in default and the contract shall terminate on the date specified in such notice.

The Contractor may exercise any rights available to it under Louisiana law to terminate for cause upon the failure of the Parish to comply with the terms and conditions of the contract, provided that the Contractor shall give the Parish written notice specifying the Parish's failure and a reasonable opportunity for the Parish to cure the defect.

5.31.2 Termination of the Contract for Convenience

The Parish may terminate the contract at any time by giving thirty (30) days written notice to the Contractor of such termination or negotiating with the Contractor an effective date.

The Contractor shall be entitled to payment for deliverables in progress, to the extent work has been performed satisfactorily.

5.31.3 Termination for Non-Appropriation of Funds

The continuance of the contract is contingent upon the appropriation of funds to fulfill the requirements of the contract by the Parish Council. If the Parish Council fails to appropriate sufficient monies to provide for the continuation of the contract, or if such appropriation is reduced for any lawful purpose, and the effect of such reduction is to provide insufficient monies for the continuation of the contract, the contract shall terminate on the date of the beginning of the first fiscal year for which funds are not appropriated.

5.32 Assignment

The Contractor shall not assign any interest in the contract by assignment, transfer, or novation, without prior written consent of the Parish. This provision shall not be construed to prohibit the contractor from assigning his bank, trust company, or other financial institution any money due or to become due from approved contracts without such prior written consent. Notice of any such assignment or transfer shall be furnished promptly to the Parish.

5.33 No Guarantee of Quantities

The quantities referenced in the RFP are estimated to be the amount needed. In the event a greater or lesser quantity is needed, the right is reserved by the Parish to increase or decrease the amount, at the unit price stated in the proposal.

The Parish does not obligate itself to contract for or accept more than its actual requirements during the period of the contract, as determined by actual needs and availability of appropriated funds.

5.34 Audit of Records

The Parish Auditor, state auditors, federal auditors or others so designated by the Parish, shall have the option to audit all accounts directly pertaining to the resulting contract for a period of five (5) years after Project acceptance or as required by applicable State and Federal law. Records shall be made available during normal working hours for this purpose.

5.35 Civil Rights Compliance

The Contractor agrees to abide by the requirements of the following as applicable: Title VI and Title VII of the Civil Rights Act of 1964, as amended by the Equal Opportunity Act of 1972, Federal Executive Order 11246, the Federal Rehabilitation Act of 1973, as amended, the Vietnam Era Veteran's Readjustment Assistance Act of 1974, Title IX of the Education Amendments of 1972, the Age Act of 1975, and Contractor agrees to abide by the requirements of the Americans with Disabilities Act of 1990. Contractor agrees not to discriminate in its employment practices, and will render services under the contract and any contract without regard to race, color, religion, sex, national origin, veteran status, political affiliation, or disabilities. Any act of discrimination committed by Contractor, or failure to comply with these statutory obligations when applicable shall be grounds for termination of the contract.

5.36 Record Retention

The Contractor shall maintain all records in relation to the contract for a period of at least five (5) years after final payment.

5.37 Record Ownership

All records, reports, documents, or other material related to any contract resulting from this RFP and/or obtained or prepared by Contractor in connection with the performance of the services contracted for herein shall become the property of the Parish and shall, upon request, be returned by Contractor to the Parish, at Contractor's expense, at termination or expiration of the contract.

5.38 Content of Contract/ Order of Precedence

In the event of an inconsistency between the contract, the RFP and/or the Contractor's Proposal, the inconsistency shall be resolved by giving precedence first to the final contract, then to the RFP and subsequent addenda (if any) and finally, the Contractor's Proposal.

5.39 Contract Changes

No additional changes, enhancements, or modifications to any contract resulting from this RFP shall be made without the prior approval of the Parish.

Changes to the contract include any change in: compensation; beginning/ ending date of the contract; scope of work; and/or Contractor change through the Assignment of Contract process. Any such changes, once approved, will result in the issuance of an amendment to the contract.

5.40 Substitution of Personnel

The Parish intends to include in any contract resulting from this RFP the following condition:

Substitution of Personnel: If, during the term of the contract, the Contractor or subcontractor cannot provide the personnel as proposed and requests a substitution, that substitution shall meet or exceed the requirements stated herein. A detailed resume of qualifications and justification is to be submitted to the Parish for approval prior to any personnel substitution. It shall be acknowledged by the Contractor that every reasonable attempt shall be made to assign the personnel listed in the Contractor's proposal.

5.41 Governing Law

All activities associated with this RFP process shall be interpreted under Louisiana Law. All proposals and contracts submitted are subject to provisions of the laws of the State of Louisiana and specifications listed in this RFP. Jurisdiction and venue for any suit filed in connection with this RFP process and contract shall be exclusive to the 22nd Judicial District Court for the Parish of St. Tammany, State of Louisiana.

5.42 Anti-Kickback Clause

The Contractor hereby agrees to adhere to the mandate dictated by the Copeland "Anti-Kickback" Act which provides that each Contractor or subgrantee shall be prohibited from inducing, by any means, any person employed in the completion of work, to give up any part of the compensation to which he is otherwise entitled.

5.43 Clean Air Act

The Contractor hereby agrees to adhere to the provisions which require compliance with all applicable standards, orders or requirements issued under Section 306 of the Clean Air Act which prohibits the use under non-exempt Federal contracts, grants or loans of facilities included on the EPA list of Violating Facilities.

5.44 Energy Policy and Conservation Act

The Contractor hereby recognizes the mandatory standards and policies relating to energy efficiency which are contained in the State energy conservation plan issued in compliance with the Energy Policy and Conservation Act (P.L. 94-163).

5.45 Clean Water Act

The Contractor hereby agrees to adhere to the provisions which require compliance with all applicable standards, orders, or requirements issued under Section 508 of the Clean Water Act which prohibits the use under non-exempt Federal contracts, grants or loans of facilities included on the EPA List of Violating Facilities.

5.46 Anti-Lobbying and Debarment Act

The Contractor will be expected to comply with Federal statutes required in the Anti-Lobbying Act and the Debarment Act.

ATTACHMENT “A”

To aid in preparing your proposal, the following information is submitted for your consideration.

Bank accounts:

One operating account – for the majority of payments and deposits. The Parish requires two image signatures on all checks, and two original signatures on all checks over \$200,000.

Two depository accounts – for accumulation of funds as required by bond covenants. Funds are transferred into/out of this account by “book transfer” monthly by Finance department staff.

One payroll account – funded each payroll for the exact amount of the payroll. Payroll is processed bi-weekly for approximately 750 employees and monthly for approximately 80 employees.

One petty cash account – for infrequent small purchases. Checks require one signature from authorized department staff.

Three grant accounts – used for depositing and paying out grant funded items required to be kept in a separate account. The Parish requires two original signatures on all checks.

Five departmental depository accounts – for specific deposit types: utilities, fuel sales, permits, animal services, and culture recreation and tourism. Funds are transferred from this account by “book transfer” monthly to the operating account by Finance department staff.

One third party account – for workmen’s compensation. Checks are written and signed by a third party on behalf of the Parish.

Two spending accounts – funded each payroll for the exact amount of the ACH pulled from the account by a vendor.

Deposits would be made at branches closest to:

21454 Koop Drive, Suite 2F1 Mandeville, LA 70471 31078 LA

36, Lacombe, LA 70445

350 N. Military Rd, Slidell, LA 70461

520 Old Spanish Trail, Suite 2F, Slidell, LA 70458

ATTACHMENT "A"

To aid you in preparing your proposal, the following information is submitted for your consideration, which in no way guarantees activity or balances in the future. Average monthly banking activity for the year ended December 31, 2020 (dollars presented in thousands):

| | Interest-Bearing | | Non-Interest Bearing | | | | |
|--------------------------------|------------------|------------|----------------------|------------|---------|------------|--------------------|
| | Operating | Depository | Payroll | Petty Cash | Grant | Department | 3rd party/spending |
| Avg. Statement Balance | \$91,331 | \$35,873 | \$- | \$- | \$2,174 | \$2,662 | \$ 18 |
| Cash Deposited at Branch | \$2 | \$- | \$- | \$- | \$- | \$61 | \$- |
| No. of Deposits | 43 | - | - | - | - | 99 | - |
| Deposit Correction | - | - | - | - | - | 2 | - |
| Cash Deposited by Courier | \$1,178 | - | - | - | - | \$12,845 | - |
| Deposited Checks Unencoded | 310 | - | - | - | - | 861 | - |
| No. of Checks Returned | 1 | - | - | - | - | 6 | - |
| Image Cash Letter Items | - | - | - | - | - | 4,109 | - |
| ICL Files Sent | - | - | - | - | - | 23 | - |
| No. of Checks Paid | 777 | - | - | - | 1 | - | 48 |
| Positive Pay Exceptions | 1 | - | - | - | - | - | - |
| Positive Pay Exception Return | 1 | - | - | - | - | - | - |
| Positive Pay Issued Uploaded | 786 | - | - | - | - | - | - |
| Positive Pay Voids Uploaded | 22 | - | - | - | - | - | - |
| Items Recon. Positive Pay | 774 | - | - | - | - | - | - |
| ARP Cancels/Voids | - | - | - | - | - | - | - |
| Image File Download Mtc. | 1 | - | - | - | - | - | - |
| Direct Download Fee/File | 2 | - | - | - | - | - | - |
| File Transfer-Direct Mtc. | 1 | - | - | - | - | - | - |
| Reconciliation Mtc. Deposit | - | - | - | - | - | 4 | - |
| Items Reconciled-Deposit | - | - | - | - | - | 237 | - |
| Recon Output Automated | 1 | - | - | - | - | - | - |
| ACH Online Credit Origination | 17 | - | 1640 | - | - | - | - |
| ACH Online Debit Origination | 30 | - | - | - | - | 3,213 | - |
| ACH Input-Batch Processed | 6 | - | 4 | - | - | 5 | - |
| ACH Received Debit | 15 | - | 3 | - | - | 15 | 7 |
| ACH Received Credit | 25 | - | - | - | - | 144 | - |
| ACH Return Items | 1 | - | 1 | - | - | 8 | - |
| ACH Debit Filter Protection | 1 | - | 1 | - | - | - | 1 |
| ACH Debit Filter Authorization | 14 | - | 1 | - | - | - | 6 |
| Online Outgoing Wire | - | - | - | - | - | - | - |
| Book Transfer Online | 16 | 1 | 1 | - | 1 | 5 | - |
| Incoming Wire Transfer | 8 | - | - | - | - | - | - |
| Incoming Wire Email | 8 | - | - | - | - | - | - |

| | Investments | Debt Service Sinking Funds | | Escrow Accounts | |
|-----------------------------|--------------|----------------------------|-------------------|-----------------|----------------|
| | Fiscal Agent | Sales Tax District 3 | Tammany Utilities | CBMB Short-Term | CBMB Long-term |
| Avg. Statement Balance | \$105,174 | \$2,730 | \$1,077 | \$872 | \$1,077 |
| No. of Purchases | 4 | - | - | - | - |
| No. of Sales/Calls | 4 | - | - | - | - |
| No. of Deposits | - | 1 | 1 | - | 1 |
| No. of Withdrawals (Annual) | - | 4 | 2 | - | - |

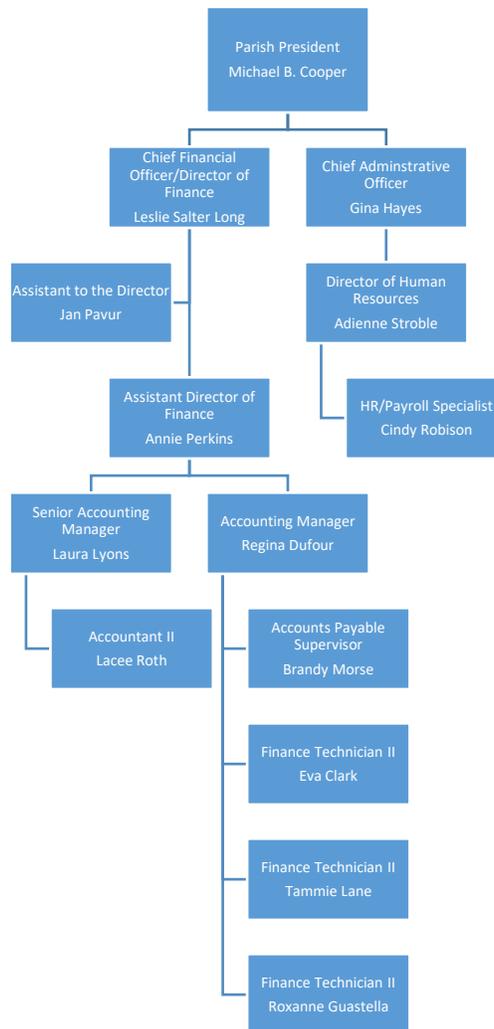
ATTACHMENT “A”

The signers on the main accounts (operating and grants) are the Parish President, the Chief Administrative Officer, and the Chief Financial Officer.

ACH transactions require two Finance Department staff members to complete the transaction. One enters the transaction, and another approves or releases the transaction.

All employees are required to have their payroll deposited by ACH draft. The Payroll Specialist enters the transactions, and a Finance Department staff member approves or releases these transactions.

See the following for an organizational chart listing employees involved in banking transactions.



ATTACHMENT "B"

CONTRACT FOR ESSENTIAL SERVICES

Contract No.: «txtContractNum»

Be it known, that on this _____ day of _____, 202____, the Parish of St. Tammany Government, through the Office of the Parish President (hereinafter sometimes referred to as the "Parish") and «txtREQCompanyName», an entity qualified to do and doing business in this State and Parish (hereinafter referred to as "Provider") do hereby enter into this Contract for non-professional services under the following terms and conditions.

1. SCOPE OF SERVICES

Provider hereby agrees to furnish the following services (hereinto referred to as the "Contract"):

«txtScopeSummary»

2. OPTION TO RENEW

The Parish has the right to renew the term of this Contract for two (2) additional one (1) year periods, based upon the same conditions of the initial term. Parish shall notify Provider in writing of its exercise of its option for an additional term not less than thirty (30) days prior to the end of the then current term.

3. NOTICE TO PROCEED

The Parish, through the Director, shall issue the Provider a Notice to Proceed in writing. This notice shall include the Work Order Number, Purchase Order Number, and instructions to undertake the services stated herein. The Provider shall commence the services within ten (10) days after receipt of such notification. The work necessary for the completion of each task shall be completed promptly following the Provider's receipt of the Notice to Proceed. If the Parish desires to divide the Project into various parts, a Notice to Proceed shall be issued for each part, and the Parish and the Provider shall mutually agree upon the period of time within which services for each part of the Project shall be performed. The Provider will be given time extensions for delays beyond its control, or for those delays caused by tardy approvals of work in progress by various official agencies, but no additional compensation shall be allowed or due Provider for such delays.

4. INSURANCE REQUIREMENTS

The Provider shall secure and maintain at its expense such insurance as may be required by the attached "Insurance Requirements". It is specifically understood that this Contract shall not be effective until such time as all insurance requirements are met by the Provider and approved by the Parish.

5. INDEMNIFICATION

To the fullest extent permitted by law, Provider shall indemnify and hold harmless the St. Tammany Parish Government, its elected and appointed officials, departments, agencies, boards and commissions, their officers, agents, servants, employees including volunteers, from and against any and all claims, demands, suits, costs, liabilities or judgments for sums of money, and fines or penalties asserted by any party, firm or organization for loss of life, injury or damages to persons or property, growing out of, resulting from, or by reason of any acts, errors, and/or omissions, by Provider, its agents, servants or employees, and subcontractors, as well as any and all costs, expenses and/or attorney fees incurred as a result of any claims, demands, and/or causes of actions that arise while engaged in connection with the services required to be performed by the Provider under this Contract. Provider further agrees to pay all reasonable expenses and attorneys' fees incurred by the Parish in establishing the right to indemnity pursuant to the provisions in this Contract.

6. TAXES

Provider hereby agrees to be responsible for payment of taxes from the funds thus received under this Contract. Provider agrees to be responsible for and to pay all applicable federal income taxes, federal social security tax (or self-employment tax in lieu thereof) and any other applicable federal or state unemployment taxes. Provider agrees to indemnify and hold the Parish harmless for any and all federal and/or state income tax liability, including taxes, interest and penalties, resulting from the Parish's treatment of Provider as independent contractor.

7. OWNERSHIP

All records, reports, documents, or other material related to this Contract and/or obtained or prepared by Provider in connection with the performance of the services contracted for herein, shall become the property of the Parish, and shall, upon request, be returned by Provider to Parish, at Provider's expense, at termination or expiration of this Contract.

8. PAYMENT OF INVOICES

- A. Invoices for services shall be submitted by Provider to Parish for review and approval
- B. All invoices must indicate the Parish Purchase Order Number and Work Order Number and shall be submitted to the address below:

St. Tammany Parish Government
ATTN: Accounts Payable
P.O. Box 628
Covington, LA 70434

- C. All billings by Provider for services rendered shall be submitted in writing. Invoices shall not be submitted more frequently than monthly.
- D. The Parish agrees to make payment to Provider for services upon receipt and approval of each invoice. The Parish will pay Provider the amount due and payable within thirty (30) days or unless a conflict results in a delay of payment. Upon receipt of each invoice, the Parish shall have the right and opportunity to review, confirm or otherwise determine the accuracy of each invoice and performance of service. In the event that the Parish disputes or otherwise may question the accuracy of each invoice or quality of all work performed, the Parish may withhold payment of any invoice until a successful and satisfactory resolution can be had between the Parties. Parish agrees to not unreasonably withhold payments of any invoice.
- E. Other than the fee schedule herein, there will be absolutely no additional fees due Provider to cover its overhead costs, general expenses, capital expenses, expenses for principal/branch/ field offices, employee salaries, direct and indirect costs, additional costs or profit of any nature whatsoever in excess of the previously agreed hourly rate.

9. JURISDICTION

This Contract is made under the laws of the State of Louisiana, and for all purposes shall be interpreted in its entirety in accordance with the laws of said State. The Provider hereby agrees and consents to the jurisdiction of the courts of the State of Louisiana over its person. The Parties hereto agree that the sole and exclusive venue for all lawsuits, claims, disputes, and other matters in question between the Parties to this Contract or any breach thereof shall be in the 22nd Judicial District Court for the Parish of St. Tammany, State of Louisiana. It is also understood and agreed that the laws and ordinances of St. Tammany shall apply.

10. NON-ASSIGNABILITY

Contract No.: «txtContractNum»

Provider shall not assign nor transfer any interest in this Contract (whether by assignment or novation) without prior written consent of the Parish. Failure to obtain the prior written consent of the Parish may be grounds for termination of this Contract. Claims for money due or to become due to the Provider from the Parish under this Contract may be assigned to a bank, trust company, or other financial institution without such prior written consent. Notice of any such assignment or transfer shall be furnished promptly to the Parish.

11. BUDGET LIMITATIONS

The continuation of this Contract is contingent upon the appropriation of funds by the Parish to fulfill the requirements of the Contract. If the Parish fails to appropriate sufficient monies to provide for the continuation of this or any other related Contract, or if such appropriation is reduced by the veto of Parish President by any means provided in the Budget Ordinance to prevent the total appropriation for the year from exceeding revenues for that year, or for any other lawful purpose, and the effect of such reduction is to provide insufficient monies for the continuation of the Contract, the Contract shall terminate on the date of the beginning of the first fiscal year for which funds are not appropriated.

12. SEVERABILITY

If any provision or item in this Contract is held invalid or unenforceable for any reason, then such invalidity or unenforceability shall not affect other provisions or items of this Contract. In such event, the remaining portions shall be given full force and effect without the invalid provision or item, and to this end the provisions or items of this Contract are hereby declared severable.

13. TERMINATION FOR CAUSE

The Parish may terminate this Contract for cause based upon the failure of the Provider to comply with the terms and/or conditions of the Contract; provided that the Parish shall give the Provider written notice specifying the Provider's failure(s). If within thirty (30) days after receipt of such notice, the Provider shall not have either corrected such failure and thereafter proceeded diligently to complete such correction, then the Parish may, at its option, place the Provider in default and the Contract shall terminate on the date specified in such notice. The Provider may exercise any rights available to it under Louisiana law to terminate for cause upon the failure of the Parish to comply with the terms and conditions of this Contract; provided that the Provider shall give the Parish written notice specifying the Parish's failure and a reasonable opportunity for the Parish to cure the defect.

14. TERMINATION FOR CONVENIENCE

The Parish may terminate this Contract at any time by giving thirty (30) days written notice to the Provider. The Provider shall be entitled to payment for deliverables in progress, to the extent work has been performed satisfactorily.

15. AUDITORS

Notwithstanding other Sections herein, Provider shall maintain all records for a period of three (3) years after the date of final payment under this Contract. It is hereby agreed that the Parish Department of Finance or its designated auditor shall have the sole, unilateral and exclusive option of auditing all accounts of Provider which relate to this Contract. Such audit may be commenced at any reasonable time. Provider agrees not to delay, retard, interrupt or unduly interfere with commencement and completion of such an audit. If in the exclusive and unilateral opinion of the Parish that Provider delays, retards, interferes with or otherwise interrupts such an audit, the Parish may seek such relief as per law. In such an event, Provider agrees to be liable for all reasonable attorney fees, costs of auditors, court costs, and any other reasonably related expenses with such litigation.

16. DISCRIMINATION CLAUSE

Provider agrees to comply with the Americans with Disabilities Act of 1990 and any current amendments thereto. All individuals shall have equal access to employment opportunities available to a similarly suited individual. Provider agrees not to discriminate in its employment practices, and will render services under this Contract without regard to race, color, religion, sex, national origin, veteran status, political affiliation, or disabilities. Any act of discrimination committed by Provider, or failure to comply with these statutory obligations when applicable shall be grounds for termination of this Contract. Provider agrees to abide by the requirements of all local, state, and/or federal law, including but not limited to the following: Title VI and VII of the Civil Rights Act of 1964, as amended by the Equal Opportunity Act of 1972, Federal Executive Order 11246, the Federal Rehabilitation Act of 1973, as amended, the Vietnam Era Veteran's Readjustment Assistance Act of 1974, Title IX of the Education Amendments of 1972, the Age Act of 1975, and the requirements of the Americans with Disabilities Act of 1990. Provider warrants and guarantees that it is an Equal Employment Opportunity employer. In all hiring or employment made possible by or resulting from this Contract, there shall not be any discrimination against any person because of race, color, religion, sex, national origin, disability, age or veterans status; and where applicable, affirmative action will be taken to ensure that Provider's employees are treated equally during employment without regard to their race, color, religion, sex, national origin, disability, age, political affiliation, disabilities or veteran status. This requirement shall apply to but not be

limited to the following: employment upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. All solicitations or advertisements for employees shall state that all applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, disability, age or veteran status.

17. INDEPENDENT CONTRACTOR

While in the performance of services or carrying out obligations herein, the Provider shall be acting in the capacity of an independent Contractor (as defined in LSA-R.S. 23:1021(7)), and not as an employee of the Parish. Nothing herein shall create a partnership between the Provider and the Parish. The Parish shall not be obliged to any person, firm or corporation for any obligations of the Provider arising from the performance of its services under this Contract. The Provider shall not be authorized to represent the Parish with respect to services being performed, dealings with other agencies, and administration of specifically related contracts, unless done so in writing by the Parish. Provider acknowledges Exclusions of Workmen's Compensation and/or Unemployment Coverage.

18. RECORDATION OF CONTRACT

Provider authorizes Parish to deduct from any payment due herein costs for recordation of this Contract in full or an excerpt hereof, or any revisions or modifications thereof as required by law. Provider agrees to execute an excerpt or extract of this agreement for recordation purposes. If Provider fails to execute such an excerpt, then the Parish shall file and record the entire Contract and all attachments at the expense of Provider and Parish is hereby authorized to deduct all related costs from any proceeds due to the Provider.

19. AUTHORITY TO ENTER CONTRACT

The undersigned representative of Provider warrants and personally guarantees that he/she has the requisite and necessary authority to enter and sign this Contract on behalf of the corporate entity, partnership, etc. The undersigned Parties warrant and represent that they each have the respective authority and permission to enter this Contract. In the event that Provider is a member of a corporation, partnership, LLC, LLP, or any other juridical entity, the Parish requires, as an additional provision, that Provider supplies a certified copy of a corporate resolution authorizing the undersigned to enter and sign this Contract.

This Contract is executed in **Three (3)** originals. IN TESTIMONY WHEREOF, they have executed this agreement, the date(s) written below.

WITNESSES:

PROVIDER:

Signature

Signature

Print Name

Print Name

Signature

Title

Print Name

Date

SAMPLE

WITNESSES:

ST. TAMMANY PARISH GOVERNMENT:

Signature

Print Name

Signature

Print Name

Michael B. Cooper
Parish President

Date

APPROVED BY:

Assistant District Attorney – Civil Division

Date

SAMPLE

ATTACHMENT C

ACKNOWLEDGMENT AND WAIVER

_____ (“Proposer”) hereby acknowledges that it has received Request for Proposal No. _____ (“RFP”), issued by the St. Tammany Parish Government, and has been advised that same is not subject to the Louisiana Public Bid Law or the Louisiana Procurement Code. As such, Proposer understands and acknowledges that it has not been granted and otherwise possesses no right to protest, contest, debate or otherwise call in question the processes, procedures, methodology or results of the RFP or the selection of a Contractor in connection therewith.

To the extent that the Proposer may otherwise have any such rights, Proposer herein waives all such rights to protest, contest, debate or otherwise call in question the processes, procedures, methodology or results of the RFP or the selection of a Contractor in connection therewith and agrees it will not file claims of any type or manner, in a court of law or otherwise, in any way related to same.

SIGNED, this _____ day of _____, 202__.

WITNESSES:

Proposer

Printed Name: _____

By: _____
(Signature of Authorized Representative)

Printed Name: _____

Printed Name: _____

Title: _____

STATE OF _____

PARISH/COUNTY OF _____

SWORN TO and subscribed before me, Notary, on this _____ day of _____, 202__.

NOTARY PUBLIC
My Commission Expires: _____



INSURANCE REQUIREMENTS*

Professional Services Project: Fiscal Agent Services _____

Project/Quote/Bid#: 2021-FINC -P-5867 _____

*****IMPORTANT – PLEASE READ*****

Prior to submitting your quote or bid, it is recommended that you review these insurance requirements with your insurance broker/agent.

These requirements modify portions of the insurance language found in the General Conditions and/or Supplementary General Conditions; however, there is no intention to remove all sections pertaining to insurance requirements and limits set forth in the General Conditions and/or Supplementary General Conditions, only to amend and specify those items particular for this Project.

- A. The Provider shall secure and maintain at its expense such insurance that will protect it and St. Tammany Parish Government (the "Parish") from claims for bodily injury, death or property damage as well as from claims under the Workers' Compensation Acts that may arise from the performance of services under this agreement. All certificates of insurance shall be furnished to the Parish and provide thirty (30) days prior notice of cancellation to the Parish, in writing, on all of the required coverage.
- B. All policies shall provide for and certificates of insurance shall indicate the following:
1. Waiver of Subrogation: The Provider's insurers will have no right of recovery or subrogation against the Parish of St. Tammany, it being the intention of the parties that all insurance policy(ies) so affected shall protect both parties and be the primary coverage for any and all losses covered by the below described insurance.
 2. Additional Insured: St. Tammany Parish Government shall be named as Additional Insured with respect to general liability, automobile liability and excess liability coverages, as well as marine liability and pollution/environmental liability, when those coverages are required or necessary.
 3. Payment of Premiums: The insurance companies issuing the policy or policies will have no recourse against St. Tammany Parish Government for payment of any premiums or for assessments under any form of policy.
 4. Deductibles/Self-Insured Retentions: Any deductibles and/or self-insured retentions in the described insurance policies **must be declared on the Certificate of Insurance**, and are both assumed by and the sole risk of the Provider. The Parish will have the sole discretion to accept or reject deductibles and/or self-insured retentions exceeding \$100,000 as it deems appropriate. The Parish may require Provider to produce evidence of verifiable financial ability to satisfy its deductibles and/or self-insured retentions; however, the Parish assumes no liability or obligation resulting from its examination, acceptance, or rejection of information presented.
 5. Project Reference: The project(s) and location(s) shall be referenced in the Comment or Description of Operations section of the Certificate of Insurance (Project ##-###, or Bid # if applicable, Type of Work, Location).
- C. Coverage must be issued by insurance companies authorized to do business in the State of Louisiana. Companies must have an A.M. Best rating of no less than A-, Category VII. St. Tammany Parish Risk Management Department may waive this requirement only for Workers Compensation coverage at their discretion.

Provider shall secure and present proof of insurance on forms acceptable to St. Tammany Parish Government, Office of Risk Management no later than the time of submission of the Contract to the Parish. However, should any work performed under this Contract by or on behalf of Provider include exposures that are not covered by those insurance coverages, Provider is not relieved of its obligation to maintain appropriate levels and types of insurance necessary to protect itself, its agents and employees, its subcontractors, St. Tammany Parish Government (Owner), and all other interested third parties, from any and all claims for damage or injury in connection with the services performed or provided throughout the duration of this Project, as well as for any subsequent periods required under this Contract.

The insurance coverages checked (✓) below are those required for this Contract.



1. **Commercial General Liability*** insurance – **Occurrence Form** - with a Combined Single Limit for bodily injury and property damage of at least \$1,000,000 per Occurrence / \$2,000,000 General Aggregate and \$2,000,000 Products-Completed Operations. Contracts over \$1,000,000 may require higher limits. The insurance shall provide for and the certificate(s) of insurance shall indicate the following coverages:
 - a) Premises - operations;
 - b) Broad form contractual liability;
 - c) Products and completed operations;
 - d) Personal/Advertising Injury.



2. **Business Automobile Liability*** insurance with a Combined Single Limit of \$1,000,000 per Occurrence for bodily injury and property damage, and shall include coverage for the following:
 - a) Any auto;

or

 - b) Owned autos; **and**
 - c) Hired autos; **and**
 - d) Non-owned autos.

(If no owned vehicles, hired and non-owned may be acceptable, subject to the review and approval of St. Tammany Parish, Office of Risk Management.)



3. **Workers' Compensation/Employers Liability insurance*** - Workers' Compensation coverage as required by State law. Employers' liability limits shall be a minimum of \$500,000 each accident, \$500,000 each disease, \$500,000 disease policy aggregate. When water activities are expected to be performed in connection with this project, limits shall be a minimum of \$1,000,000 each accident, \$1,000,000 each disease, \$1,000,000 disease policy aggregate and coverage under the USL&H Act, Jones Act and/or Maritime Employers Liability (MEL) must be included. **Coverage for owners, officers and/or partners in any way engaged in the Project shall be included in the policy.** The names of any excluded individual must be shown in the Description of Operations/Comments section of the Certificate.



4. **Pollution Liability and Environmental Liability*** insurance in the minimum amount of \$1,000,000 per occurrence / \$2,000,000 aggregate including full contractual liability and third party claims for bodily injury and/or property damage, for all such hazardous waste, pollutants and/or environmental exposures that may be affected by this project stemming from pollution/environmental incidents as a result of Contractor's operations.

If coverage is provided on a claims-made basis, the following conditions apply:

- 1) the retroactive date must be prior to or coinciding with the effective date of the Contract, or prior to the commencement of any services provided by the Contractor on behalf of the Parish, whichever is earlier; AND
- 2) continuous coverage must be provided to the Parish with the same retro date for 24 months following acceptance or termination of the Project by the Parish either by
 - a) continued renewal certificates **OR**
 - b) a 24 month Extended Reporting Period

*The Certificate must indicate whether the policy is written on an occurrence or claims-made basis and, if claims-made, the applicable retro date must be stated.



5. **Professional Liability/Errors and Omissions*** insurance in the sum of at least \$1,000,000 per claim / \$2,000,000 aggregate is required when work performed by Contractor or on behalf of Contractor includes professional or technical services including, but not limited to, construction administration and/or management, engineering services such as design, surveying, and/or inspection, technical services such as testing and laboratory analysis, and/or environmental assessments. An occurrence basis policy is preferred.

If coverage is provided on a claims-made basis, the following conditions apply:

- 1) the retroactive date must be prior to or coinciding with the effective date of the Contract, or prior to the commencement of any services provided by the Contractor on behalf of the Parish, whichever is earlier; AND
- 2) continuous coverage must be provided to the Parish with the same retro date for 24 months following acceptance or termination of the Project by the Parish either by
 - a) continued renewal certificates **OR**
 - b) a 24 month Extended Reporting Period

*The Certificate must indicate whether the policy is written on an occurrence or claims-made basis and, if claims-made, the applicable retro date must be stated.



6. **Marine Liability/Protection and Indemnity*** insurance is required for any and all vessel and/or marine operations in the minimum limits of \$1,000,000 per occurrence / \$2,000,000 per project general aggregate. The coverage shall include, but is not limited to, the basic coverages found in the Commercial General Liability insurance and coverage for third party liability



7. **Financial Institution Bond** (Bankers Blanket/Fidelity Bond) in the minimum amount of \$20,000,000 to protect the Parish from loss resulting from acts of crime or fraud perpetrated either by the Contractor, its agents or subcontractors or against the Contractor, its agents or subcontractors.



8. **Bankers Professional Liability** (errors and omissions) insurance in the minimum limit of \$20,000,000 per claim preferably written on an occurrence basis. A claims-made form may be acceptable by the Parish under the following conditions: 1) the retroactive date must be placed prior to or coinciding with the effective date of the Contract, or prior to the commencement of any services provided by the Contractor on behalf of the Parish, whichever is earlier; AND 2) certification is provided that the liability policy contains an Extended Reporting Period "tail" providing continuation of coverage for at least twenty-four (24) months following the completion of Contractor's services/work.

***Excess/Umbrella Liability** insurance may be provided to meet the limit requirements for any Liability coverage. For example: if the General Liability requirement is \$3,000,000 per occurrence, but the policy is only \$1,000,000 per occurrence, then the excess policy should be at least \$2,000,000 per occurrence thereby providing a combined per occurrence limit of \$3,000,000.)

- D. All policies of insurance shall meet the requirements of the Parish prior to the commencing of any work. The Parish has the right, but not the duty, to approve all insurance coverages prior to commencement of work. If any of the required policies are or become unsatisfactory to the Parish as to form or substance; or if a company issuing any policy is or becomes unsatisfactory to the Parish, the Provider shall promptly obtain a new policy, timely submit same to the Parish for approval, and submit a certificate thereof as provided above. The Parish agrees not to unreasonably withhold approval of any insurance carrier selected by Provider. In the event that Parish cannot agree or otherwise authorize a carrier, Provider shall have the option of selecting and submitting a new insurance carrier within 30 days of said notice by the Parish. In the event that the second submission is

insufficient or is not approved, then the Parish shall have the unilateral opportunity to thereafter select a responsive and responsible insurance carrier all at the cost of Provider and thereafter deduct from Provider's fee the cost of such insurance.

- E. Provider shall maintain a current copy of all annual insurance policies and agrees to provide a certificate of insurance to the Parish on an annual basis or as may be reasonably requested for the term of the contract or any required Extended Reporting Period. Provider further shall ensure that all insurance policies are maintained in full force and effect throughout the duration of the Project and shall provide the Parish with annual renewal certificates of insurance evidencing continued coverage, without any prompting by the Parish.
- F. Provider shall maintain a current copy of all annual insurance policies and agrees to provide same to the Parish on an annual basis or as may be reasonably requested. Provider further shall ensure that all insurance policies are maintained in full force and effect throughout the duration of the Project and shall provide the Parish with annual renewal certificates of insurance evidencing continued coverage, without any prompting by the Parish.
- G. It shall be the responsibility of Provider to require that these insurance requirements are met by all contractors and sub-contractors performing work for and on behalf of Provider. Provider shall further ensure the Parish is named as an additional insured on all insurance policies provided by said contractor and/or sub-contractor throughout the duration of the project.
- H. Certificates of Insurance shall be issued as follows:

**St. Tammany Parish Government
Attn: Risk Management
P O Box 628
Covington, LA 70434**

To avoid contract processing delays, be certain the project name/number is included on all correspondence including Certificates of Insurance.

***NOTICE: St. Tammany Parish Government reserves the rights to remove, replace, make additions to and/or modify any and all of the insurance requirements at any time.**

Any inquiry regarding these insurance requirements should be addressed to:

**St. Tammany Parish Government
Office of Risk Management
P O Box 628
Covington, LA 70434
Telephone: 985-898-5226
Email: riskman@stpgov.org**

HOLD HARMLESS AGREEMENT

_____ (Contractor) agrees to protect, defend, indemnify, save, and hold harmless St. Tammany Parish Government, its elected and appointed officials, departments, agencies, boards and commissions, its officers, agents servants, employees, including volunteers, from and against any and all claims, demands, expense and liability arising out of injury or death to any person or the damage, loss or destruction of any property to the extent caused by any act or omission of Contractor, its agents, servants, employees, and subcontractors, or any and all costs, expense and/or attorney fees incurred as a result of any claim, demands, and/or causes of action that results under the performance or non-performance of this contract.

_____ (Contractor) agrees to investigate, handle, respond to, provide defense for and defend any such claims, demand, or suit, as described in the paragraph above, at its sole expense and agrees to bear all other costs and expenses related thereto, even if it (claims, etc.) is groundless, false or fraudulent.

SIGNED, this ____ day of _____, 20__

WITNESSES:

(Name of Contractor)

Print Name: _____

BY: _____
(Signature of Authorized Officer)

Print Name: _____

Print Name: : _____
Title: _____

STATE OF _____

PARISH/COUNTY OF _____

SWORN TO and subscribed before me, Notary, on this ____ day of _____, 20__.

NOTARY PUBLIC
My Commission Expires: _____

Please complete the following:

Claims contact for this project will be:

(Print name and title of Contact Person)

Address

Email address

Telephone#

Cell #

Fax #

**AFFIDAVIT PURSUANT TO LA R.S. 38:2224 AND ETHICS PROVISIONS
FOR PROFESSIONAL & ESSENTIAL SERVICE CONTRACTS**

STATE OF _____

PARISH/COUNTY OF _____

BEFORE ME, the undersigned authority, in and for the above stated State and Parish (or County), personally came and appeared:

Print Name

who, after first being duly sworn, did depose and state:

1. That affiant is appearing on behalf of _____, who is seeking a Professional or Essential Service Contract with St. Tammany Parish Government.
2. That affiant employed no person, corporation, firm, association, or other organization, either directly or indirectly, to secure the public contract under which he received payment, other than persons regularly employed by the affiant whose services in connection with the construction, alteration or demolition of the public building or project or in securing the public contract were in the regular course of their duties for affiant; and

3. That no part of the contract price received by affiant was paid or will be paid to any person, corporation, firm, association, or other organization for soliciting the contract, other than the payment of their normal compensation to persons regularly employed by the affiant whose services in connection with the construction, alteration or demolition of the public building or project were in the regular course of their duties for affiant.

4. If affiant is a sole proprietor, that neither affiant, nor his/her immediate family is a public servant of St. Tammany Parish Government or the Contract is not under the supervision or jurisdiction of the public servant's agency.

5. If affiant is executing this affidavit on behalf of a juridical entity such as a partnership, corporation, or LLC, etc., that no public servant of St. Tammany Parish Government, or his/her immediate family, either individually or collectively, has more than a 25% ownership interest in the entity seeking the Contract with St. Tammany Parish Government if the Contract will be under the supervision or jurisdiction of the public servant's agency.

Printed Name: _____

Title: _____

Entity name: _____

THUS SWORN TO AND SUBSCRIBED BEFORE ME,
THIS _____, DAY OF _____, 202__.

Notary Public

Print Name: _____

Notary I.D./Bar No.: _____

My commission expires: _____

**AFFIDAVIT PURSUANT TO LA R.S. 38:2212.10 CONFIRMING
REGISTRATION AND PARTICIPATION IN A STATUS VERIFICATION
SYSTEM**

STATE OF _____

PARISH/COUNTY OF _____

BEFORE ME, the undersigned authority, in and for the above stated State and Parish (or County), personally came and appeared:

Print Name

who, after first being duly sworn, did depose and state:

1. That affiant is appearing on behalf of _____, a private employer seeking a bid or a contract with St. Tammany Parish Government for the physical performance of services within the State of Louisiana.
2. That affiant is registered and participates in a status verification system to verify that all employees in the state of Louisiana are legal citizens of the United States or are legal aliens; and
3. That affiant shall continue, during the term of the contract, to utilize a status verification system to verify the legal status of all new employees in the state of Louisiana.
4. That affiant shall require all subcontractors to submit to the affiant a sworn affidavit verifying compliance with this law.

Printed Name: _____

Title: _____

Name of Entity: _____

THUS SWORN TO AND SUBSCRIBED BEFORE ME,

THIS _____, **DAY OF** _____, **202**__.

Notary Public

Attachment G
Sample Scoring Matrix
RFP#21-9-3
Fiscal Agent Services

Vendor/Business Name

Evaluator's Name

| CRITERIA | POSSIBLE POINTS | ASSIGNED POINTS | COMMENTS |
|---|-----------------|-----------------|----------|
| Compliance with the RFP | 10pts | | |
| | | | |
| | | | |
| Qualifications and Experience of the Proposer | 15pts | | |
| | | | |
| | | | |
| Comprehensiveness of Services Provided | 25pts | | |
| | | | |
| | | | |
| Charger for Services | 20pts | | |
| | | | |
| | | | |
| Interest Rate Offered | 20pts | | |
| | | | |
| | | | |
| Proposal Quality and References | 10pts | | |
| | | | |
| | | | |

Vendor Total 100pts

Signature of Evaluator: _____

Date: _____